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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet 1 of 4

Application Number	
Filing Date	9/15/2003
First Named Inventor	STEVEN R. SMITH
Art Unit	
Examiner Name	
Attorney Docket Number	99-0866.3

**U. S. PATENT DOCUMENTS**

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
PLR	A	US- 3,706,840	12/19/1972	Moyle et al.	
	B	US- 3,721,868	03/20/1973	Smith, Jr.	
	C	US- 3,778,685	12/11/1973	Kennedy	
	D	US- 4,026,008	05/31/1977	Drees	
	E	US- 4,250,347	02/10/1981	Fierkens	
	F	US- 4,540,603	09/10/1975	Hidaka et al.	
	G	US- 4,994,411	02/19/1991	Naito	
	H	US- 5,057,457	10/15/1991	Miyahara et al.	
	I	US- 5,097,317	03/17/1992	Fujimoto et al.	
	J	US- 5,147,815	09/15/1992	Casto	
	K	US- 5,197,183	03/30/1993	Chia et al.	
	L	US- 5,206,794	04/27/1993	Long	
	M	US- 5,291,059	03/01/1994	Ishisuka et al.	
	N	US- 5,365,107	11/15/1994	Kuraishi et al.	
	O	US- 5,437,915	08/01/1995	Nishimura et al.	
	P	US- 5,442,231	08/15/1995	Miyamoto et al.	
PLR	Q	US- 5,619,065	04/08/1997	Kim	
	R	US- 5,637,915	06/10/1997	Sato et al.	
	S	US- 5,638,596	06/17/1997	McCormick	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature*Steven R. Smith*Date  
Considered

5/09

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	
		Filing Date	9/15/2003
		First Named Inventor	STEVEN R. SMITH
		Art Unit	
		Examiner Name	
Sheet 2 of 4	Attorney Docket Number	99-0866.3	

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		Number-Kind Code <sup>2</sup> (if known)			
DLA	T	US- 5,659,198	08/19/1997	Okumoto et al.	
	U	US- 5,674,785	10/07/1977	Akram et al.	
	V	US- 5,739,585	04/14/1998	Akram et al.	
	W	US- 5,750,423	05/12/1998	Ishii	
	X	US- 5,767,570	06/16/1998	Rostoker	
	Y	US- 5,770,479	06/23/1998	Brooks et al.	
	Z	US- 5,793,118	08/11/1998	Nakajima	
	AA	US- 5,689,355	02/09/1999	Fukaya	
	AB	US- 5,920,114	07/06/1999	Beaumont	
	AC	US- 5,970,320	10/19/1999	Yamasaki et al.	
	AD	US- 5,970,322	10/19/1999	Ichikawa et al.	
	AE	US- 5,989,940	11/23/1999	Nakajima	
	AF	US- 5,981,315	11/09/1999	Murakami et al.	
	AG	US- 5,998,866	12/07/1999	Ochi et al.	
	AH	US- 6,025,212	02/15/2000	VanNortwick et al.	
	AI	US- 6,028,368	02/22/2000	Abe	
DLA	AJ	US- RE36,613	03/14/2000	Ball	
	AK	US- 6,043,430	03/28/2000	Chun	
	AL	US- 6,048,755	04/11/2000	Jiang et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines. Where Relevant Passages Or Relevant Figures Appear	†
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Delores K. Pappas</i>	Date Considered	3/04
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		Application Number	
		Filing Date	September 12, 2003
		First Named Inventor	STEVEN R. SMITH
		Art Unit	
		Examiner Name	
Sheet 3 of 4	Attorney Docket Number	99-0866.3	

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		Number-Kind Code <sup>2</sup> (if known)			
slr	AM	US- 6,063,139	05/16/2000	Fukaya	
	AO	US- 6,068,180	05/30/2000	Test	
	AP	US- 6,091,157	07/18/2000	Black et al.	
	AQ	US- 6,153,924	11/28/2000	Kinsman	
	AR	US- 6,229,202B1	05/08/2001	Corisis	
	AS	US- 6,285,624B1	07/10/2001	Corisis	
	AT	US- 6,271,056B1	08/07/2001	Farnworth et al.	
	AU	US- 6,281,044B1	08/28/2001	VanNortwick	
slr	AV	US- 4,788,583	11/29/1988	Kawahara et al.	
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Examiner Signature	<i>Delia Skypur</i>	Date Considered	5/04
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		First Named Inventor	STEVEN R. SMITH
		Art Unit	
		Examiner Name	
Sheet	4	of	4
		Attorney Docket Number	99-0866.3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AW	Book of Semi Standards 1995, Packaging Volume, Specification Dimensions and Tolerances Used to Manufacture Plastic Molded Small Outline Package Tooling. SEMI 1985 pgs 175-178.	
	AX	"Analysis of Warpage of LSI package". Copyright Nitto Denko, 1999.	
	AY	LEE CHOON KUAN et al. "IC Package Warpage - Prediction and Control", TI Singapore MOS Memory Package Development Dept., date unknown.	
	AZ	1994 Dram Data Book, Micron Semiconductor, Inc., Packaging Plastic TSOP, pgs 7-19, 1994.	

Examiner Signature		Date Considered	
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